



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 27th OCTOBER 2022

PRESENT: Councillor P Turner (Chair), Councillors R Ford (Vice-Chair),
D Cook, A Cooper and S Daniels

Officers Andrew Barratt (Chief Executive), Stefan Garner
(Executive Director Finance) and Jo Hutchison
(Democratic Services, Scrutiny and Elections Officer)

35 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor R Kingstone.

36 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 28th September 2022 were approved as a correct record.

(Moved by Councillor D Cook and seconded by Councillor A Cooper)

37 DECLARATIONS OF INTEREST

There were no declarations of Interest.

38 MODERN SLAVERY STATEMENT 2021/22

Report of the Assistant Director, Partnerships to endorse the Council's Modern Slavery and Human Trafficking Statement 2021/22.

The Committee thanked the Assistant Director, Partnerships for the report.

RESOLVED that the Committee endorsed the Modern Slavery and Human Trafficking Statement 2021/22 for approval by Cabinet.

(Moved by Councillor D Cook and seconded by Councillor R Ford)

39 LOCAL GOVERNMENT AND SOCIAL CARE OMBUDSMAN ANNUAL REVIEW 2021/22

The Report of the Assistant Director, People to advise the Committee of the contents of the Local Government and Social Care Ombudsman's Annual Report Letter for the year ended 31st March 2022 in relation to complaints against Tamworth Borough Council.

The Committee thanked the Assistant Director, People for the report.

RESOLVED that:

1. The Committee endorsed the content of the Ombudsman's Annual Review Letter
2. The Committee noted the summary of complaints, decisions and compliance during 2021/22.

(Moved by Councillor P Turner and seconded by Councillor R Ford)

40 RISK MANAGEMENT QUARTERLY UPDATE QT2 2022/23

The Report of the Assistant Director, Finance to report on the Risk Management process and progress for Quarter 2 of the 2022/23 financial year.

Councillor S Daniels arrived at the start of this item at 6.12pm

RESOLVED that the Committee endorsed the Corporate Risk Register.

(Moved by Councillor D Cook and seconded by Councillor A Cooper)

41 QUARTERLY INTERNAL AUDIT PROGRESS REPORT - QUARTER 2 2022/23

The Report of the Audit Manager to provide the Audit & Governance Committee with internal audit's progress report for period to 30 September 2022 was presented by the Chief Executive.

RESOLVED that the Committee noted Internal Audit's Quarterly Report (Appendix 1), which included results up to 30 September 2022.

(Moved by Councillor P Turner and seconded by Councillor S Daniels)

42 COUNTER FRAUD UPDATE

The Chief Executive introduced the Report of the Audit Manager which provided Members with:

- An update of counter fraud work completed this financial year, including an updated fraud action plan and fraud risk register;
- the updated Counter Fraud & Corruption Strategy;
- the updated Whistleblowing Policy; and
- the updated Anti Money Laundering Policy.

The Chief Executive highlighted to members an amendment to Recommendation 4 which was to refer to the Anti Money Laundering Policy.

RESOLVED that the Committee:

1. Endorsed this update report, including the updated fraud action plan at **Appendix 1** and the fraud risk register at **Appendix 2**.
2. Endorsed the refreshed Counter Fraud & Corruption Policy Strategy at **Appendix 3**.
3. Endorsed the refreshed Whistleblowing Policy at **Appendix 4**.
4. Endorsed the refreshed Anti Money Laundering Policy at **Appendix 5**.

(Moved by Councillor D Cook and seconded by Councillor A Cooper)

43 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE

The Committee reviewed and noted the timetable.

Chair